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| Deliver To: | Examiner R. Zervigon - Art Unit 1763 | | | | | | |
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| Company: | U.S. Patent and Trademark Office (703) 872-9018 William Thomas Babbitt, Reg. No. 39,591 December 18, 2001 | | | | | | |
| Fax No.: | | | | | | | |
| From: | | | | | | | |
| Date: | | | | | | | |
| Number of Pages: | 8 (Including Cover Sheet) | | | | | | |
| Operator: | Nedy Calderon | | | | | | |
| Our Reference: | 2616 US/RTP/LE (BSTZ 004887.P090) | | | | | | |
| SUBJECT: | Serial No. 09/298,064; Filed 04/22/1999 | | | | | | |
| DEMARKS. | Please see the attached Extension of Time and Notice of Appeal | | | | | | |

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| Date Mailed: 11-05-01 Docket Due Date: Title APPARATUS AND METHOD FOR EXP | OSING A SUBSTRATE TO PLASMA RADICALS |
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| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber | |
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| O Amendment/Response After Final (pgs) | O Form PTO-1533 |
| O Appeal Brief & two copies (pgs each) O Application - Rule 1.53(b) | O Information Disclosure Statement & F 10-1449 (|
| O Application - Rule 1.53(b)(2) | O Issue Fee Transmittel |
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| O Assignment & Cov. (pgs) | O Petition (pgs) |
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| O Express Mail No | O Preliminary Amendment (pgs) |
| O Declaration & POA (post) | O Reply Brief (pgs) |
| O Disclosure Doce & Inv's Signed Ltr | Response to Notice of Missing Parts - pgs) |
| O Other | O Small Entity Declaration for Indep. In. en/Sm. Business |
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| App. No. 09/298.064 Silica Date: 04.00 00 | |
| App. No. <u>09/298 064</u> Filing Date: <u>04-22-99</u> Date Mailed: <u>11-05-01</u> Docket Due Date: | BSTZ Docket #: |
| App. No. <u>D9/298_064</u> Filing Date: <u>04-22-99</u> Date Mailed: <u>11-05-01</u> Docket Due Date: Title <u>APPARATUS AND METHOD FOR EXPOSE</u> | BSTZ Docket #:4887P090 Atty/SecWTB/ndc Client: Applied Materials _ inc INCA SUBSTRATE_TO PLASMA DADGES A |
| TILLE APPARATUS AND METHOD FOR EXPOS | ING A SUBSTRATE TO PLASMA PADICALS |
| Inventor(s) Xing, Miner Lones, Kehrs, Tanker | ING A SUBSTRATE TO PLASMA RADICALS. |
| Title APPARATUS AND METHOD FOR EXPOS Inventor(s) Xing, Miner, Lopes, Katuri, Tauber The following has been received in the U.S. Patent & | ING A SUBSTRATE TO PLASMA RADICALS |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (MR) | ING A SUBSTRATE TO PLASMA BADICALS. Iredemark Office on the date stamped here. |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (pps) Amendment/Response (ps) | ING A SUBSTRATE TO PLASMA BADICALS Indemark Office on the date stamped here(-): Fee Transmittal (original & copy) |
| Inventor(s) Xing, Miner, Lopes, Katuri, Tauber Inventor(s) Xing, Miner, Lopes, Katuri, Tauber The following has been received in the U.S. Patent & Amendment/Response (pgs) Amendment/Response After Final (pgs) | Indemark Office on the date stamped here: Fee Transmittal (original & copy) Form PYO-1833 |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (pgs) Amendment/Response After Final (pgs) Appeal Bride & two copies (pgs each) Application - Bulle 1 53(h) | Indica A SUBSTRATE TO PLASMA RADICALS. Indemark Office on the date stamped here; Fee Transmittal (original & copy) Form PYO-1533 Information Disclosure Statement & PT 2-1440. |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (ps) Amendment/Response After Final (pgs) Appeal Brief & two copies (pgs each) Application - Rule 1.53(b) Application - Rule 1.53(b) | A SUBSTRATE TO PLASMA RADICALS. Indemark Office on the date stamped here(-): Fee Transmittal (original & copy) Form PYO-1533 Information Disclosure Statement & PT-)-1449 (pgs |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (ps) Amendment/Response After Final (pgs) Appeal Brief & two copies (pgs each) Application - Rule 1.53(b) Application - Rule 1.53(b) | Trademark Office on the date stamped here(-): © Fee Transmittal (original & copy) O Form PYO-1533 O Information Disclosure Statement & PT-)-1449 (pge O Issue Fee Transmittal |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (pgs) Amendment/Response After Final (pgs) Amendment/Response After Final (pgs) Application - Rule 1.53(b) (2) Application - Rule 1.53(c) | A SUBSTRATE TO PLASMA RADICALS. Indiansk Office on the date stamped here; 1: © Fee Transmittal (original & copy) O Form PYO-1533 O Information Disclosure Statement & PT-)-1449 (pgs (|
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber Inst following has been received in the U.S. Patent & Amendment/Response (pgs) Amendment/Response After Final (pgs) Appeal Brilef & two copies (pgs each) Application - Rule 1.53(b) Application - Rule 1.53(c) Application - Rule 1.53(d) Application - Rule 1.53(d) Application - Rule 1.53(d) | India A SUBSTRATE TO PLASMA RADICALS. Indemark Office on the date stamped here; © Fee Transmittal (original & copy) O Form PYO-1533 O Information Disclosure Statemen; & PT-)-1449 (pgs) O Issue Fee Transmittal © Notice of Appeal © Patition for Extension of Time:two_m_nths_ (pgs) |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (| Indian A SUBSTRATE TO PLASMA BADICALS. Indianark Office on the date stamped here(-): Fee Transmittal (original & copy) Form PYO-1533 Information Disclosure Statement & PT-)-1449 (|
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (pgs) Amendment/Response After Final (pgs) Appelle Brief & two coples (pgs each) Application - Rule 1.53(b)(2) Application - Rule 1.53(c) Application - Rule 1.53(c) Application - Rule 1.53(d) Application - Rule 1.53(d) Application - Rule 1.53(d) Application - Rule 1.53(d) Assignment & Cov. (pgs) Assignment & Cov. (pgs) | ### Applied Materials Inc. Indiamark Office on the date stamped here; 1: © Fee Transmittal (original & copy) O Form PYO-1533 O Information Disclosure Statement & PT-2-1449 (pgs 15sue Fee Transmittal O Notice of Appeal Petition for Extension of Time:iwo_maths (pgs O Petition pgs O Postcard O Power of Attomey (pgs D Postcard D Power of Attomey (pgs D |
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| Title APPARATUS AND METHOD FOR EXPOS Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (pgs) Amendment/Response After Final (pgs) Amendment/Response After Final (pgs) Application - Rule 1.53(b) (| INC A SUBSTRATE TO PLASMA RADICALS. Indemark Office on the date stamped here; Fee Transmittal (original & copy) Form PYO-1533 Information Disclosure Statement & PT-)-1449 (pgs) Issue Fee Transmittal Notice of Appeal Petition for Extension of Time:two_m_inths_ (pgs) Petition (pgs) Prostcard Power of Attorney (pgs) Preliminary Amendment (pgs) Response to Notice of Missing Parts (pgs) Response to Notice of Missing Parts (pgs) Small Entity Declaration for Indea inventors. |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (pgs) Amendment/Response After Final (pgs) Amendment/Response After Final (pgs) Application - Rule 1.53(b) (2) Application - Rule 1.53(b) (2) Application - Rule 1.53(d) Application - Rule 1.53(d) Application - Rule 1.53(d) Application - (| ING A SUBSTRATE TO PLASMA RADICALS. Indemark Office on the date stamped here: Fee Transmittal (original & copy) Form PYO-1533 Information Disclosure Statement & PT-)-1449 (pgs) Issue Fee Transmittal Notice of Appeal Potition for Extension of Time:two_m_anths_ (pgs) Postcard Power of Attorney (pgs) Preliminary Amendment (pgs) Response to Notice of Missing Parts (pgs) Small Entity Declaration for Indep. Inven/Sm. Business |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (pgs) Amendment/Response After Final (pgs) Application - Rule 1.53(b) Application - Rule 1.53(c) Application - Rule 1.53(c) Application - Rule 1.53(d) Application - Rule 1.53(d) Application - Rule 1.53(d) Application - Rule 1.53(d) Capplication - Rule 1.53(d) Capplication - Rule 1.53(d) Declarificate of Facsimile & Mailing Express Mail No. Declaration & POA (pgs) Disclosure Docs & Inv's Signed Lif | INICA SUBSTRATE TO PLASMA RADICALS. Indemark Office on the date stamped here(-1) © Fee Transmittal (original & copy) O form PYO-1533 O Information Disclosure Statement & PT-)-1449 (pgs) O Issue Fee Transmittal © Notice of Appeal © Petition for Extension of Time:two_m_raths_ (pgs) O Petition (pgs) O Postcard O Power of Attorney (pgs) O Preliminary Amendment (pgs) O Reply Brief (pgs) O Response to Notice of Missing Parts (pgs) O Small Entity Declaration for Indep. Inven/Sm. Business © Transmittal Form © Check No. 24479 |
| Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber The following has been received in the U.S. Patent & Amendment/Response (pgs) Amendment/Response After Final (pgs) Amendment/Response After Final (pgs) Application - Rule 1.53(b) (2) Application - Rule 1.53(b) (2) Application - Rule 1.53(d) Application - Rule 1.53(d) Application - Rule 1.53(d) Application - (| Trademark Office on the date stamped here(-1) Fee Transmittal (original & copy) Form PYO-1533 Information Disclosure Statement & PT-)-1449 (|

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| (to be used for all correspondence after initial filling) Group Art Unit 1763 | |
| Examiner Name Zervigon, R. | |
| Total Number of Pages in This Submission 5 Attorney Docket Number 2616US/RTP/ | |
| ENCLOSURES (check all that apply) | |
| Fee Transmittal Form Assignment Papers (flor an Application) | Board ces Group |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT William Thomas Babbirt, Reg. No. 39,591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN Signature Date CERTIFICATE OF MAILING (OR TRANSMISSION) | |
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| METHOD OF PAYMENT (check one) | FEE CALCULATION (continued) | | | | | | | |
| 1. The Commissioner is hereby authorized to charge indirected tops and conditions over payments to: | 3. A | 3. ADDITIONAL FEE | | | | | | |
| indicated fees and credit any over payments to: | Large | Entity | Small | Entity | | | | |
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| | 105 127 | | 205 | | Surcharge - late filing fee or oath | | | |
| Account Name Blakely, Sokoloff, Taylor & Zafman LLP | | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | |
| | | 130 | 139 | 130 | Non-English specification | | | |
| — Under 37CFN 1,10 and 1.17 | | | | | For filing a request for ex parte reexamination | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | 920 | 112 | 920 | Requesting publication of SIR prior to Examiner action | | | |
| 2. A Payment Enclosed: Money Dother Order Dother | 113 | 1,840 | 113 | 1,840 | Requesting publication of SIR after Examiner action | | | |
| | 115 | 110 | 215 | 55 | Extension for response within first month | | | |
| FEE CALCULATION | 116 | | 216 | | Extension for response within second month | 290- | | |
| 1. FILING FEE | 117 | | 217 | | Extension for response within third month | | | |
| Large Entity Small Entity | | 1,440 | | | Extension for response within fourth month | | | |
| Fee Fee Fee Fee Description Fee Paid | | 1,960 | | | Extension for response within fifth month | 200 | | |
| Code (\$) Code (\$) | 119 | | 219 | | Notice of Appeal | 320.7 | | |
| 101 740 201 370 Utility filing fee | 120 | | 220 | | Filing a brief in support of an appeal | | | |
| 106 330 206 165 Design filing fee | 121 | | 221 | | Request for oral hearing | | | |
| 107 510 207 255 Plant filing fee | 138 | • | 240 | • | Petition to institute a public use proceeding Petition to revive - unavoidably | | | |
| 108 740 208 370 Reissue filing fee | | 1.280 | | | Petition to revive - unintentionally | | | |
| 114 160 214 80 Provisional filing fee | | 1,280 | | | Utility issue fee (or reissue) | \vdash | | |
| SUBTOTAL (1) (\$) | 143 | , | 243 | | Design issue fee | | | |
| 200101WF (1) [(4) | 143 | | 244 | | Plant issue fee | | | |
| 2. CLAIMS Fee from Fee Pold | 122 | | 122 | | Petitions to the Commissioner | | | |
| Extra below Fee Paid | 123 | | 123 | | Petitions related to provisional applications | | | |
| | 126 | | 126 | | Submission of Information Disclosure Stmt | | | |
| Claims X 3 - = X = - | 581 | | 581 | | Recording each patent assignment per | —— | | |
| Multiple Dependent Claims = | 55 . | | 50. | | property (times number of properties) | | | |
| Large Entity Small Entity | 146 | 740 | 246 | 370 | Filing a submission after final rejection | | | |
| Fee Fee Fee Fee Description | | | | • | (37 CFR 1.129(a)) | | | |
| Code (\$) Code (\$) | 149 | 740 | 249 | 370 | For each additional invention to be | | | |
| 103 18 203 9 Claims in excess of 20 | | | | | examined (37 CFR 1.129(b)) | | | |
| 102 84 202 42 Independent claims in excess of 3 | 179 | 740 | | | Request for Continued Examination (RCE) | | | |
| 104 280 204 140 Multiple Dependent claim | 169 | 900 | 169 | 900 | Request for expedited examination | | | |
| 109 84 209 42 Reissue independent claims over original patent | | | | | of a design application | | | |
| | Other fee (specify) | | | | | L | | |
| 110 18 210 9 Reissue claims in excess of 20 and over original patent. | | | | | | | | |
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SUBMITTED BY Typed or Printed Name William Thomas Babbitt, Reg. No. 39,591 Reg. Number Signature

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